

## Attachment 1 Delegations of Authority

Authority Category	Statutory	PRE OneSC			POST OneSC
		Initial Delegation	Re Delegations	Subsequent/Ultimate Delegation	

FINANCE AND ACCOUNTING					
<b>Allotment Holder/ Allottee</b>	DOE Act § 642	S-1 > ME-1 (CFO) 00-008.00 (12-06-01)	ME-30 > OM  Per the DOE Budget Execution Manual (DOE M 135.1-1), an Advice of Allotment (HQ F 2260.2), signed by the CFO, is the formal delegation to the Manager for each allotment. A listing of allottees and AFP codes is maintained by ME.		<p style="text-align: center;">Manager, CH and OR</p> <p>The listing of allottees has been modified to reflect the authority of CH as allottee for LBNL and SLAC. Oak Ridge will be assigned as allottee for PNNL. CH anticipates allotment authority for BSO, and SSO with the April AFP issuance.</p>

HUMAN CAPITAL MANAGEMENT					
<b>Delegated Personnel Authority (DPA)</b>	DOE Act § 642	S-1 > ME-1 00-008.00 (12-06-01)	<p style="text-align: center;">ME-1 &gt; ME-50 00-008.01 (12-11-01)</p> <p>Under Redelegation 00-008-01, ME-50 is DPA and Servicing Personnel Office for SC/HQ.</p> <p style="text-align: center;">ME-1 &gt; Manager, CH and OR 00-008-09 and 00-008.11 (12-11-01)</p> <p>Under Redelegations 00-008.09 and 00-008.11, CH and OR Managers have DPA for actions involving personnel <i>under their cognizance</i>.</p>		<p style="text-align: center;">Manager, CH and OR</p> <p>Redelegations 00-008.09 and 00-008.11 need to be revoked and replaced by new Redelegations that effect OneSC assignments, including the ISC responsibility for providing Personnel Services for personnel not under their cognizance, such as Site Office personnel, as set forth in the SC Service Plan.</p>

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<b>Organizational Authority<sup>1</sup></b>	DOE Act] 643	S-1 > S-3 00-002.00A (09-12-02)	S-3 > SC-1 00-002.02 (01-08-02)	No Change
		Reserves the authority to approve addition, deletion, or transfer of mission and functions of or between HQ and field elements, as well as the authority to establish or discontinue organizational elements at the first or second level below the HQ element.	Authority to approve additional, deletion, or transfer of mission and functions of or between HQ and/or Field Elements is reserved to the Secretary. SC-1 may redelegate this authority in whole or in part, provided that the authority to establish or discontinue organizational elements at the first or second level below the Head of the HQ or Field Element may not be redelegated.	
		S-1 > HDE 00-039.00 (12-06-01) DOE P 111.1		

<sup>1</sup> Authority to establish, alter, consolidate, or discontinue organization units or components.

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PROCUREMENT AND CONTRACTING					
<b>Head of Contracting Authority (HCA)</b>	DOE Act/ 646	S-1 > S-3 00-022.00A (9-12-02)  Authority to designate HCAs	S-3 > ME-60 00-002.007 (1-8-02)	ME-60 > CH, OR, etc. Delegation Letters (3-23-94)  Operations Office Managers have HCA delegations by letter from ME-60. Each delegation specifies the contracting "activities" included under the HCA authority (e.g., the CH authority covers CH, AAO, EML, NBL, FAO, BAO, and PAO). Authority to appoint contracting officers and sign all SF 1402 certificates is non-delegable.	SC-3  SC-3 to obtain HCA authority for SC contracting activities, and get ME-60 to revoke HCA authority of Operations Office Managers.
<b>Contracting Officer (CO) and Contracting Officer Technical Representative (COTR) Designations</b>	DOE Act/ 646	DOE Order 541.1 DEAR 901.6, OFPP Policy Letter No. 92-3 Extant CO Warrants.  Current CO warrants are held by the CH and OR Managers, Directors of Acquisition and selected other individuals in CH and OR, and in the CH Area Offices and other Area/Site Offices, Specific limitations on the exercise of Contracting Officer authorities are established in internal CH and OR directives. An HCA or CO designates Contracting Officer Representative by letter.			Field Element Contracting Officer (CO) appointments, including appointment of all Site Managers as Administrative COs, will require reissuance or ratification by SC-3 as the cognizant HCA. The new HCA also must issue an internal directive or other issuance to replace CH and OR directives establishing the limitations on the CO authorities of each contracting officer and contracting officer's representatives by name or by position. So long as the cognizant CO retains authority, his/her COR designations are valid.

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PROPERTY TRANSACTIONS					
<b>Real Property</b>	DOE Act § 646 § 647	S-1 > ME-1 00-008.00 (12-06-01)	<b>ME-1</b>  ME-1 retained authority for HQ real estate actions.		ME (HQ) and OM (Field)  Redelegations 00-008.09 and 00-008.11 would need to be revoked and replaced by new delegations that effect OneSC assignments, including the CH and OR responsibilities for providing Certified Real Estate Specialist signatory functions to the Site Offices, in accordance with the SC Service Plan. ME-1 would continue to provide the Certified Real Estate Specialist for HQ real estate transactions.
	AEA § 161		<b>ME-1 &gt; OM</b> 00-008.09 and 00-008.11 (12-11-01)  ME-1 redelegated authority for real estate actions to CH and OR.		
<b>Personal Property</b>	DOE Act § 642 § 647  AEA § 161	S-1 > ME-1 00-008.00 (12-06-01)	<b>ME-1 &gt; ME- 60</b> 00-008.18 (12-11-01)  Authority to acquire, manage, and dispose of personal property delegated to the Procurement Executive.	Heads of Field Organizations 41 CFR Part 109 DOE Property Management Regulations  Field Organizations is defined as Departmental Offices located outside of the Washington, D.C. metropolitan area.	No Change
<b>Intellectual Property</b>	DOE Act § 651 et seq  AEA § 151-160	S-1 >			No significant change. Contract terms give patent counsel most of the needed authority. Need to notify LBNL, SLAC and PNNL who their patent counsel will be.

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SAFEGUARDS AND SECURITY				
<b>Safeguards &amp; Security Operations</b>	AEA § 161	S-1> SO-1 00-030.00 (12-06-01)	<p>SO-1 &gt; OM (Various)</p> <p>SO and predecessors delegated certain authorities to field, including Firearms and Arrest Authority.</p>	<p style="text-align: center;">Site Managers</p> <p>Directives will need to be modified to change responsibilities that currently apply to named Operations Office Managers. To be consistent with OneSC, responsibilities could be assigned to SC-3 and redelegated, or SC could distinguish between “line management” and “support”. Each manager would have responsibility for S&amp;S of activities under his/her cognizance.</p>
<b>Personnel Security</b>	AEA § 145	S-1> SO-1 00-030.00 (12-06-01)	<p>SO-1 &gt; OM &amp; SC-1 10 CFR Part 710</p> <p>The Regulation gives Operations Office Managers authority to suspend and revoke access authorizations of DOE and contractor personnel under their cognizance.</p> <p>The DOE Orders give Heads of DOE Elements responsibilities, which means both CFO and HFO.</p>	<p style="text-align: center;">Managers, CH and OR</p> <p>The regulation will need to be revised to provide authority to the Managers of CH and OR to suspend, revoke or decline to grant access authorizations regarding DOE and contractor employees under their cognizance, and DOE and contractor employees they support under the Service Center Plan.</p>

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<b>Classification</b>	DOE Act [ 641 AEA [ 141 -149	S-1> SO-1 00-030.00 (12-06-01)	SO-1 > Individual Classification Officers 10 CFR Part 1045 DOE O 471.2A DOE M 475.1-1A	<p style="text-align: center;">Managers, CH, OR, SO</p> Classification Officer designations unaffected. Some SOs will qualify as "DOE field elements that generate classified information", and therefore the SO Manager will have responsibility for assuring Classification Officer services, either within the SO, or from the ISC or another SO. Existing UCNI reviewing official designations remain in effect.
<b>UCNI</b>	AEA [ 148		Classification Officers > UCNI Reviewing Officials 10 CFR Part 1017 DOE O 471.1	

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INFORMATION MANAGEMENT				
<b>FOIA</b>	5 USC. § 552	S-1 > ME-1 00-008.00 (12-06-01)  Authority to designate DOE FOIA/PA Officers;	10 CFR Part 1004  Section 1004.2(b) identifies the “authorizing or denying official” as the head of the field location in Section 1004.2(h). Section 1004.2(h) identifies DOE Operations Offices and major Field Offices with FOIA Officers. Section 1004.3(a) indicates that a complete listing of reading rooms is available from the FOIO at DOE HQ.	Section 1004.2(b) and Part 1008 need to be revised to be consistent with the OneSC FY04 Service Plan.
<b>Privacy Act</b>			10 CFR Part 1008  Essentially identical designations as above.	

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ENVIRONMENT, SAFETY, AND HEALTH COMPLIANCE AND PROTECTION				
<b>NEPA</b>	PL 91- 190	10 CFR Part 1021	<p style="text-align: center;">Heads of Field Organizations</p> <p style="text-align: center;">DOE O 451.1B</p> <p>The Managers, CH and OR, have the authority to determine that a categorical exclusion applies, and that an environmental assessment or an environmental impact statement , is appropriate or required. They also have authority to approve and adopt environmental assessments, and to issue findings of no significant impact. Environmental assessment responsibilities may be delegated to the head of a subsidiary Field Organization (e.g., Area or Project Office) after confirming that the subsidiary organization has prepared adequate internal scoping procedures, a quality assurance plan and a public participation plan; has designated a NEPA Compliance Officer; and has adequate DOE legal resources available. This authority has not been redelegated, although authority to determine that a categorical exclusion applies has been delegated to the CH Area Offices upon confirmation that they have the requisite NCO, legal support, NEPA SOPs, QA Plan, and Public Participation Plan.</p>	<p>The Managers, CH and OR will retain their determination and EA authorities. SO Managers as HFOs will need to satisfy the program requirements of the Order either in-house or through the services of CH or OR.</p>

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<b>Other Directives Responsibilities and Authorities<sup>2</sup></b>			[DOE/Departmental/Field] [Office/Element/Organization] [Head/Manager/Director]	Attached Table 2 is the SC determination that the various DOE Order designations for “Head of Field Organization (HFO) (e.g., Head of Field Element, Heads of Departmental Elements (which includes Heads of Field Elements), Operations Office Managers, Field Office Managers, etc.) are to be interpreted to mean Site Office Managers, the CH Manager, and/or the OR Manager as specified.	
<b>Administrative Authority for Competitive Area</b>	5CFR 351. 402		The nature of responsibility of Operations Offices was such that the Manager exercised administrative authority for competitive area determination. This was permissible under regulations. This was undocumented other than in local directives where the authority was assumed.	SC-1 assuming responsibility and formally documenting such for administrative authority. Permissible under regulations.  See OPM Restructuring Information Handbook, Module 3, and DOE Order 320.1, Chapter IV.	

<sup>2</sup> E.g., Responsibility for Safety Basis Approval and Authorization Basis; Start-up and Restart ; and Occurrence Reporting)